SERIAL NO. 10/042,461

DOCKET NO. AUS920010790US1

DATE: July 12, 2006

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Leslie A. Van Leeuwen, Reg. No. 42,196 Leslie A. Van Leeuwen, Reg. No. 42,196 7/12/2006 Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Establishing Automated Resource Reduction Criteria

Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 9 references:
- X Copy of 9 non-patent references:

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.97(c) and:
  - X no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquity, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
  - \_X\_ Any additional patent application processing fees under 37 CFR Sect. 1.17.

## Respectfully submitted,

By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Attorney for Applicant Telephone: (512) 301-6738 Facsimile: (512) 301-6742

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: § Group Art Unit: 3623

Calderaro, et. al. § Serial No.: 10/042,461 §

Filed: January 8, 2002

Title: System and Method for § Intellectual Property Law Dept.

Establishing Automated § 11400 Burnet Road

Establishing Automated § 11400 Burnet Road Resource Reduction Criteria § Austin, Texas 78758

## INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 8 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR J.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is 'prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By <u>/Leslie A. Van Leeuwen, Reg. No. 42,196/</u> Leslie A. Van Leeuwen, Reg. No. 42,196 Van Leeuwen & Van Leeuwen Attorneys for Applicant Telephone: (512) 301-6732 Faesimile: (512) 301-6742 In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR

Serial No. 10/042,461 Applicant Calderaro, et. al. Filing Date: 1/8/2002 Group: 3623

APPLICANT'S INFORMATION DISCLOSURE STATEMENT

## Atty. Docket No. AUS920010790US1 U. S. PATENT DOCUMENTS Filing Date if Examiner Document Classification Initial Number Date Name Class / Subclass Appropriate AA AB FOREIGN PATENT DOCUMENTS Examiner Document Translation Initial Number Date Country Classification Yes No ΑC AD Examiner OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Initial ANPAS System (11 pages), www.webarchive.org, April 2001. AF Blackwell, "Job performance would decide raise," Richmond Times-Dispatch, May 7, 1997. AG Campbell et al., "Self appraisal in performance evaluation: development versus evaluation," The Academy of Management Review, April 1998. Nixon, "Corporate downsizing: the effect of implementation strategies on firm performance," Texas AH A&M Univ., Dec. 1995 (thesis naner) ΑI Aram et al., "An evaluation of organizational due process in the resolution of employee/employer conflict," The Academy of Management Review, April 1981. Lam et al., "Total quality management and performance appraisal: an experimental study of process AJ versus results and group versus individual approaches," Jour, of Org. Behavior, April 1999. Knapp, "How to set up a reduction in force program," Jour, of Org. Excellence, Autumn 2001. Kam, "Overhaul of state civil service system is sent to Gov. Bush," South Florida Sun-Sentinel, May AI. 5 2001 AM "India: HM's VRS Targets 6 pc Reduction in Workforce," Businessline, June 8, 2001. AN Date Considered Examiner

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.